

UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 07-1022-US-CIP)

IN THE APPLICATION OF:)	
)	
Kemp II, et al.)	
)	
Serial No.: 09/971,087)	Examiner: Richard Weisberger
)	
Filed: October 5, 2001)	Group Art Unit: 3624
)	
U.S. Patent: 7,127,424 issued October 24, 2006)	Conf. No.: 2608
)	
Title: Click Based Trading With Intuitive)	
Grid Display of market Depth and)	
Price Consolidation)	

REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 C.F.R. § 1.323

Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.323, the Assignee of Record, Trading Technologies International, Inc., requests issuance of a Certificate of Correction to correct mistakes of clerical, typographical nature and of minor character in the above-identified Patent. The corrections are as follows:

At (73), Assignee, please delete "Evanston, IL" and substitute therewith –Chicago, IL--.

The Assignee respectfully submits that the requested corrections do not constitute new matter, nor do they require substantive examination of the file. Should the Office decide that a Request under 37 CFR §3.81(b) is required to make this correction, the Assignee, Trading Technologies International, Inc., hereby states for the record that an assignment was submitted for recordation as set forth in §3.11 before issuance of the patent, and was recorded at Reel/Frame 013901/0375. The Commissioner is authorized to charge the associated fee under 1.17(i) to Deposit Account No. 13-2490.

Consideration of this Request and issuance of the Certificate of Correction are respectfully requested. The Assignee submits herewith a completed Certificate of Correction

Form (PTO/SB/44), as well as the required fee under 37 C.F.R. § 1.20(a). No additional fees are believed due with this Request; however, the Patent Office is authorized to charge any fee deficiencies to deposit account 13-2490.

If there are any questions or comments regarding this request, the Examiner is encouraged to contact the undersigned attorney as indicated below.

Date: March 16, 2010

Respectfully submitted,
/Jori R. Fuller/
Jori R. Fuller
Reg. No. 57,628

**McDonnell Boehnen
Hulbert & Berghoff LLP**
300 South Wacker Drive
Chicago, IL 60606
Telephone: 312-913-0001
Facsimile: 312-913-0002

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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PATENT NO. : 7,127,424
APPLICATION NO.: 09/971,087
ISSUE DATE : October 24, 2006
INVENTOR(S) : Kemp II et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At (73), Assignee, please delete "Evanston, IL" and substitute therewith --Chicago, IL--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

McDonnell Boehnen Hulbert & Berghoff LLP
300 South Wacker Drive
Chicago, Illinois 60606

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.